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CHAPTER 3

COUNTERINTELLIGENCE MATTERS

3-1 BASIC POLICY

Certain matters affecting national security must be reported to the Director, Naval Criminal Investigative Service (DIRNCIS) so appropriate counterintelligence action can be taken. All military and civilian personnel of the DON, whether they have access to classified information or not, will report to their security managers, commanding officers or to the nearest command, any activities described in this chapter involving themselves, their dependents, co-workers, or others. Commanding officers will immediately notify the nearest NCIS office.

3-2 SABOTAGE, ESPIONAGE, INTERNATIONAL TERRORISM OR DELIBERATE COMPROMISE

1. Individuals becoming aware of sabotage, international terrorism, espionage, deliberate compromise or other subversive activities will report all available information concerning such activities immediately to the security manager or commanding officer at their command or at the most readily available command. The command receiving the report must notify the servicing NCIS office. If the servicing NCIS office cannot be contacted immediately and the report concerns sabotage, international terrorism, espionage, or imminent flight or defection of an individual, the command will immediately contact the Director, NCIS (DIRNAVCRIMINSERV WASHINGTON DC) by classified IMMEDIATE message, with CNO (N09N) as an information addressee.
2. The servicing NCIS office will be notified immediately of any requests, through other than official channels, for classified or national defense information from anyone without an official need to know, regardless of nationality. The NCIS office will also be notified of any requests for unclassified information from any individual believed to be in contact with a foreign intelligence service. Examples of requests to be reported include attempts to obtain: names, duties, personal data or characterizations of DON personnel; technical orders, manuals, regulations, base directories, personnel rosters or unit manning tables; and information about the designation, strength, mission, combat posture, and development of ships, aircraft and weapons systems.
3. The NCIS will then advise what additional action is to be taken and will effect liaison and coordination with appropriate

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members of the U.S. intelligence community.

3-3 CONTACT REPORTING

1. All personnel who possess a security clearance are to report to their commanding officer, activity head, or designee, contacts with any individual, regardless of nationality, whether within or outside the scope of the individual's official activities in which illegal or unauthorized access is sought to classified or otherwise sensitive information.

2. Personnel must report to the command if they are concerned that they may be the target of exploitation. The commanding officer will review and evaluate the information and promptly report it to the local NCIS office.

3-4 SUICIDE OR ATTEMPTED SUICIDE

1. When personnel who have access to classified information commit or attempt to commit suicide, the individual's commanding officer will immediately forward all available information to the nearest NCIS office for action, with an information copy to the DON CAF. The report will, as a minimum, describe the nature and extent of the classified information to which the individual had access, and the circumstances surrounding the suicide or attempted suicide.

2. The NCIS office receiving the report will coordinate investigative action with the commanding officer. If NCIS assumes immediate investigative cognizance, command investigative efforts will be subordinate to those of NCIS. No independent questioning of witnesses should be conducted without prior approval of NCIS.

3-5 UNAUTHORIZED ABSENTEES

1. When personnel who have access to classified information are determined to be in an unauthorized absentee status, the individual's commanding officer will conduct an inquiry to determine if there are any indications from the individual's activities, behavior, or associations that the absence may be contrary to the interests of national security. If the inquiry develops such concerns, the command will report, by quickest means available, all pertinent information to the nearest NCIS office for action, with an information copy to the DON CAF.

2. NCIS will promptly advise whether or not they will conduct an investigation.

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3-6 DEATH OR DESERTION

When a member of the DON who has access to classified information dies or deserts, the member's commanding officer must identify any unusual indicators or circumstances that may be contrary to the interests of national security. If such conditions exist, the command will report by the most expedient means available, all pertinent information to the nearest NCIS office.

3-7 FOREIGN TRAVEL

1. Commands will advise personnel of the particular vulnerabilities associated with foreign travel during orientation and annual refresher briefs. See paragraph 4-10, Special Briefings, for additional information regarding the foreign travel briefing.

2. All personnel possessing security clearance eligibility are required to list all personal foreign travel as part of the required Periodic Reinvestigation (PR). The Defense Security Service (DSS) will explore the foreign travel issue during the PR and may refer the investigation to NCIS if the travel patterns or failure to list travel create concerns that would make referral appropriate.

3-8 FOREIGN CONNECTIONS

1. A security risk may exist when an individual's immediate family, including cohabitants and other persons to whom the individual is bound by affection or obligation, are not citizens of the United States. Having a financial interest in a foreign country may also present a security risk.

2. The personnel security adjudicative process requires an examination of a sufficient amount of information regarding an individual to determine whether the individual is an acceptable security risk. The assessment of risk due to the individual's relationship with foreign nationals and foreign entities is a part of the personnel security adjudicative process. Issues regarding foreign connections should be reported to the DON CAF.